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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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| <b>TRANSMITTAL FORM</b><br><i>(to be used for all correspondence after initial filing)</i> | Application Number   | 09/977478              |                        |
|  | Filing Date          | Oct 15, 2001           |                        |
|  | First Named Inventor | Benedikt Sas           |                        |
|  | Group Art Unit       | 1626                   |                        |
|  | Examiner Name        | Andrea D. Souza Small  |                        |
| Total Number of Pages in This Submission   | 4                    | Attorney Docket Number | 4532680/71680 (KEM 55) |

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| ENCLOSURES (check all that apply)  |   |   |
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| <input checked="" type="checkbox"/> Fee Transmittal Form<br><br>Fee Attached<br><br>Amendment / Reply<br><br>After Final<br><br>Affidavits/declaration(s)<br><br><input checked="" type="checkbox"/> Extension of Time Request<br><br>Express Abandonment Request<br><br><input checked="" type="checkbox"/> Response to Restriction Requirement<br><br>Certified Copy of Priority Document(s)<br><br>Response to Missing Parts/ Incomplete Application<br><br>Response to Missing Parts under 37 CFR 1.52 or 1.53 | Assignment Papers (for an Application)<br><br>Drawing(s)<br><br>Licensing-related Papers<br><br>Petition<br><br>Petition to Convert to a Provisional Application<br>Power of Attorney, Revocation<br>Change of Correspondence Address<br><br>Terminal Disclaimer<br><br>Request for Refund<br><br>CD, Number of CD(s) | After Allowance Communication to Group<br><br>Appeal Communication to Board of Appeals and Interferences<br><br>Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><br>Proprietary Information<br><br>Status Letter<br><br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br><br>Return Postcard |
| Remarks  |   |   |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |                   |
|--|-------------------|
| Firm or Individual name                    | Kent A. Herink    |
| Signature                                  |                   |
| Date                                       | February 26, 2003 |

| CERTIFICATE OF MAILING   |                    |      |                   |
|--|--------------------|------|-------------------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: 2-28-03 |                    |      |                   |
| Type or printed name   | Jeri D. Krutsinger | Date | February 26, 2003 |
| Signature  |                    |      |                   |

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# FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant Claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$110.00)

## Complete if Known

Application Number 09/977478  
Filing Date 10/15/2001  
First Named Inventor Benedikt Sas  
Examiner Name Andrea D. Souza  
Art Unit 1626  
Attorney Docket No. 4532680/71680 (KEM 55)

## METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account

Deposit Account Number  
Deposit Account Name

12-2250

Davis, Brown, Koehn, Shors & Roberts, P.C.

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large Entity |          | Small Entity |          | Fee Description        | Fee Paid |
|--------------|----------|--------------|----------|------------------------|----------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |                        |          |
| 1001         | 750      | 2001         | 375      | Utility filing fee     |          |
| 1002         | 330      | 2002         | 165      | Design filing fee      |          |
| 1003         | 520      | 2003         | 260      | Plant filing fee       |          |
| 1004         | 750      | 2004         | 375      | Reissue filing fee     |          |
| 1005         | 160      | 2005         | 80       | Provisional filing fee |          |

SUBTOTAL (1) (\$)

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

|                    |                      | Extra Claims |                      | Fee from below |                      | Fee Paid               |
|--------------------|----------------------|--------------|----------------------|----------------|----------------------|------------------------|
|                    |                      |              |                      |                |                      |                        |
| Total Claims       | <input type="text"/> | -20**=       | <input type="text"/> | X              | <input type="text"/> | = <input type="text"/> |
| Independent Claims | <input type="text"/> | -3**=        | <input type="text"/> | X              | <input type="text"/> | = <input type="text"/> |
| Multiple Dependent |                      |              |                      |                | <input type="text"/> | = <input type="text"/> |

| Large Entity |          | Small Entity |          | Fee Description   |
|--------------|----------|--------------|----------|---|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |   |
| 1202         | 18       | 2202         | 9        | Claims in excess of 20                                    |
| 1201         | 84       | 2201         | 42       | Independent claims in excess of 3                         |
| 1203         | 280      | 2203         | 140      | Multiple dependent claim, if not paid                     |
| 1204         | 84       | 2204         | 42       | **Reissue independent claims over original patent         |
| 1205         | 18       | 2205         | 9        | **Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$)

\*\* or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

| Large Entity |          | Small Entity |          | Fee Description  | Fee Paid |
|--------------|----------|--------------|----------|--|----------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |  |          |
| 1051         | 130      | 2051         | 65       | Surcharge - late filing fee or oath  |          |
| 1052         | 50       | 2052         | 25       | Surcharge - late provisional filing fee or cover sheet                     |          |
| 1053         | 130      | 2053         | 130      | Non-English specification  |          |
| 1812         | 2,520    | 1812         | 2,520    | For filing a request for <i>ex parte</i> reexamination                     |          |
| 1804         | 920*     | 1804         | 920*     | Requesting publication of SIR prior to Examiner action                     |          |
| 1805         | 1,840*   | 1805         | 1,840*   | Requesting publication of SIR after Examiner action                        |          |
| 1251         | 110      | 2251         | 55       | Extension for reply within first month                                     | 110.00   |
| 1252         | 410      | 2252         | 205      | Extension for reply within second month                                    |          |
| 1253         | 930      | 2253         | 465      | Extension for reply within third month                                     |          |
| 1254         | 1,450    | 2254         | 725      | Extension for reply within fourth month                                    |          |
| 1255         | 1,970    | 2255         | 985      | Extension for reply within fifth month                                     |          |
| 1401         | 320      | 2401         | 160      | Notice of Appeal   |          |
| 1402         | 320      | 2402         | 160      | Filing a brief in support of an appeal                                     |          |
| 1403         | 280      | 2403         | 140      | Request for oral hearing   |          |
| 1451         | 1,510    | 1451         | 1,510    | Petition to institute a public use proceeding                              |          |
| 1452         | 110      | 2452         | 55       | Petition to revive - unavoidable   |          |
| 1453         | 1,300    | 2453         | 650      | Petition to revive - unintentional   |          |
| 1501         | 1,300    | 2501         | 650      | Utility issue fee (or reissue)   |          |
| 1502         | 470      | 2502         | 235      | Design issue fee   |          |
| 1503         | 630      | 2503         | 315      | Plant issue fee  |          |
| 1460         | 130      | 1460         | 130      | Petitions to the Commissioner  |          |
| 1807         | 50       | 1807         | 50       | Processing fee under 37 CFR 1.17(q)  |          |
| 1806         | 180      | 1806         | 180      | Submission of Information Disclosure Stmt                                  |          |
| 8021         | 40       | 8021         | 40       | Recording each patent assignment per property (times number of properties) |          |
| 1809         | 750      | 2809         | 375      | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 1810         | 750      | 2810         | 375      | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 1801         | 750      | 2801         | 375      | Request for Continued Examination (RCE)                                    |          |
| 1802         | 900      | 1802         | 900      | Request for expedited examination of a design application                  |          |

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$110.00)

## SUBMITTED BY

| Name (Print/Type) |  | Registration No. (Attorney/Agent) |  | Telephone    |  |
|-------------------|--|-----------------------------------|--|--------------|--|
| Kent A. Herink    |  | 31025                             |  | 515-288-2500 |  |
| Signature         |  | Date                              |  |              |  |
|                   |  | Feb. 28, 2003                     |  |              |  |

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 37 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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